

Express Mail Label No. EV 667 739 191 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

IWATA, et al.

Serial No: Not Assigned

Filed: March 8, 2005

For: Embolization Device For Vessel  
Cavity In Vivo

Art Unit: Not Assigned

Examiner: Not Assigned

TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENTCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

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§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R.  
§1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 13 reference(s) is in the enclosed Form PTO-1449.

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**NON-ENGLISH LANGUAGE REFERENCES**

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☒ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Date: March 8, 2005

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Respectfully submitted,  
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FORM PTO-1449

Docket Number (Optional)  
81844.0033Application Number  
Not Assigned

81844.0033

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Applicant

IWATA, et al.

Filing Date

March 8, 2005

Group Art Unit

Not Assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	07-063508	03/10/95	JAPAN			Abstract	
	2986409 (Japanese Publication No. 09-099094)	10/01/99	JAPAN			Abstract	
	3416729 (Japanese Publication No. 2001-299769)	04/11/03	JAPAN			Abstract	
	11-076249	03/23/99	JAPAN			Abstract	
	2553309 (Japanese Publication No. 06-319743)	11/22/94	JAPAN			Abstract	
	3023076 (Japanese Publication No. 10/000198)	01/06/98	JAPAN			Abstract	
	3024071 (Japanese Publication No. 09-168541)	01/21/00	JAPAN			Abstract	
	3085655 (Japanese Publication No. 10-024039)	07/07/00	JAPAN			Abstract	
	3016418 (Japanese Publication No. 08-317992)	12/24/99	JAPAN			Abstract	
	2908363 (Japanese Publication No. 09-276280)	04/02/99	JAPAN			Abstract	
	00/74577	12/14/00	WO				
	2 389 056	05/03/01	CANADA				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

SUZUKI, et al., "Ion Implantation Into Collagen For The Substrate Of Small Diameter Artificial Grafts", Nuclear Instruments and Methods in Physics Research B 127/128 (1997) 1015-1018

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.